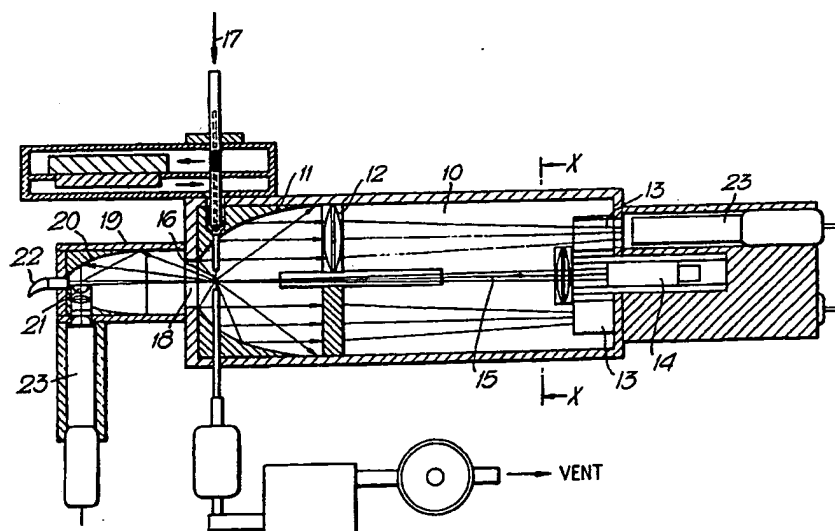




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/GB88/00974</p> <p>(22) International Filing Date: 10 November 1988 (10.11.88)</p> <p>(31) Priority Application Number: 8726305</p> <p>(32) Priority Date: 10 November 1987 (10.11.87)</p> <p>(33) Priority Country: GB</p> <p>(71) Applicant (for all designated States except US): THE SECRETARY OF STATE FOR DEFENCE IN HER BRITANNIC MAJESTY'S GOVERNMENT OF THE UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND [GB/GB]; Whitehall, London SW1A 2HB (GB).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only) : LUDLOW, Ian, Keith [GB/GB]; Parkway Close, Welwyn Garden City, Hertfordshire (GB). KAYE, Paul, Henry [GB/GB]; 1 Coopers Close, Kimpton, Hertfordshire (GB).</p>	<p>(74) Agent: BECKHAM, Robert, William; Ministry of Defence, Procurement Executive, Patents 1A(4), Room 2014, Empress State Building, Lillie Road, London SW6 1TR (GB).</p> <p>(81) Designated States: AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), DK, FI, FR (European patent), GB, GB (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, SE (European patent), US.</p> <p><b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>	

(54) Title: PORTABLE PARTICLE ANALYSERS



## (57) Abstract

A portable particle analyser is compact and can determine the size, geometry and number of particles in a sample of fluid. A laser beam (15) intercepts the flow of fluid (17) at the first focal point of a parabolic mirror (11). Light is scattered and collected by radiation collectors (13) and low angle scattered radiation is detected in a second chamber (19) by reflection by an ellipsoid mirror (20) towards a radiation collector (21). Photomultiplier units (23) convert the radiation collected into electrical signals for analysis.

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PORTABLE PARTICLE ANALYSERS

This invention relates to apparatus for the analysis of fluid-borne particles. For example, in the study of aerosols, aerosol dispersion and airborne particulate pollution control, there is a requirement for the rapid determination of particle size distribution especially in the diameter range 1 to 10 microns, together with some knowledge of the geometry and symmetry of individual particles. The latter information could, for example, enable particles with spherical symmetry to be identified and thus allow the counting/monitoring of liquid droplets in an environment including other solid, non-spherical particles. In the context of the present specification, the term particles is intended to apply both to solid bodies and to drops of liquid.

It is desirable for such apparatus to be able to count individual particles in a sample at rates of, typically, 20,000 particles per second, to be able to distinguish between spherical and non-spherical particles in the sample and to count each type. Another desirable feature is to categorise spherical particles having diameters of a few microns into a number of size bands and also in this connection to classify particle coincidences as 'non-spherical' and hence to ignore them in the compilation of size spectra based on the assumption the particle is spherical.

The normal techniques for the examination of particles, as used in several instruments available commercially, employ the detection and analysis of electromagnetic radiation scattered by the particles. All such instruments use a mechanical mechanism to drive the sample air through a "sensing volume" where the carried particles are illuminated by the incident electromagnetic radiation. The radiation scattered by the particles is received by one or more detectors which convert the energy to electrical signals from which information may be extracted by appropriate electrical circuits.

Particle analysers are known, for example, as described in UK Patent Application numbers 8619050, 2041516A, 2044951A and US Patent No 3946239. These all describe analysers which comprise a concave reflector in a scatter chamber, and a flow of sample fluid intercepted by a beam of radiation. The light scattered from individual particles in the fluid is directed by the reflector to radiation collectors and

subsequently analysed. All of these, however, suffer from being :  
cumbersome and fragile and consequently not readily portable.  
Moreover, light scattered at low angles from the particles in the  
sample is not detected by any of the above prior art systems.

5        There is therefore a need for a particle analyser which is portable  
and compact and determines the size, geometry and number of particles  
in a sample fluid, and is additionally capable of detecting and  
analysing light scattered at low angles from the individual particles  
in the sample.

10        According to one aspect of the present invention a particle  
analyser includes a first scatter chamber, means for providing a sample  
of fluid in the form of a laminar flow through the first scatter  
chamber, a beam of radiation, adapted to intercept the sample at  
right angles to a direction of flow of the sample at a focal point of  
15       a first concave reflector, the first concave reflector being used to  
direct the radiation scattered by individual particles in the sample  
towards at least one radiation collector, means for converting the  
radiation collected into electrical signals for processing and analysis,  
and means for dumping the non-scattered radiation wherein an aperture  
20       in the first concave reflector leads to a second scatter chamber.

      The beam of radiation may be provided by a laser which may be  
mounted in any one of a number of ways so that the beam intercepts the  
sample flow at right angles. For example, it may be mounted aligned  
with the principal axis of the first concave reflector; such an  
25       arrangement would make the apparatus more rugged and compact.

      The first concave reflector may be a parabolic mirror, or,  
alternatively may be an ellipsoid mirror, which would reflect the  
scattered light to a single point of detection.

      The advantage of having a second chamber mounted coaxially with  
30       the first chamber is so that light scattered at low angles from the  
individual particles in the sample can be detected and analysed also.  
This information is particularly useful in determining the size of  
particles. The second chamber may or may not include a second concave  
reflector. If no second concave reflector is used the second chamber  
35       may include radiation collectors mounted around the unscattered beam,  
for example in concentric rings. If a second concave reflector is  
used it is preferably an ellipsoid mirror, has a radiation collector

located at a near focal point and a far focal point at the point of interception of the beam and sample. Thus, light scattered at low angles is reflected by the ellipsoid mirror to the near focal point and collected by the radiation collector there.

- 5        Radiation collectors of any suitable type may be used in the present invention and may include photomultiplier units, optical fibre leading to such units or lenses directing the radiation to a photo-multiplier unit or optical fibre.

10       According to a second aspect of the present invention a method of particle analysis includes the steps of:

passing a sample of fluid in the form of a laminar flow through a first scatter chamber;

passing a beam of radiation through the first scatter chamber so as to intercept the sample at right angles to a direction of flow at a  
15       focal point of a first concave reflector, the first concave reflector being used to direct the radiation towards at least one radiation collector;

collecting scattered radiation with at least one radiation collector located in a second chamber leading from an aperture in the  
20       first concave reflector;

converting the radiation collected into electrical signals, processing and analysing the electrical signals; and dumping the non-scattered radiation.

The sample may be an aerosol.

- 25       A number of embodiments of the invention will now be described by way of example only, with reference to the accompanying drawings, in which:

Fig 1 is a side view in section of a preferred embodiment of the invention;

30       Fig 1a is a view along the line in Fig 1;

Fig 2 is a side view in section of another embodiment of the invention; and

Fig 3 is a side view in section of a further embodiment of the invention.

- 35       As shown in Fig 1 a first scattering chamber 10 includes a first concave reflector in the form of a parabolic mirror 11, lenses 12, and radiation collectors 13. A laser 14 is mounted aligned with the

principal axis of the parabolic mirror 11 and directs a beam 15 of radiation towards the focal point 16 of the parabolic mirror 11 where it intercepts with the sample fluid 17 in the form of a laminar flow. An aperture 18 leads to a second chamber 19 which includes a second  
5 concave reflector in the form of an ellipsoid reflector 20 and a radiation collector 21 located at the near focal point of the ellipsoid reflector 20 and the ellipsoid reflector is positioned so that its far focal point is situated at the focal point 16 of the first parabolic reflector 11. A beam dump 22, typically a Rayleigh horn is located at  
10 an aperture in the ellipsoid mirror 20 to collect the non-scattered radiation. Radiation collectors 13 and 21 are connected to photo-multiplier tubes 23. Fig 1a shows a possible arrangement of radiation collectors 13 around the laser 14. Although only three collectors are shown here, any number of detectors may be located radially around the  
15 laser 14.

Fig 2 shows an alternative embodiment of the invention where the laser 14 is mounted at an angle of  $90^\circ$  to the principal axis of the first concave reflector which, in this embodiment, is an ellipsoid reflector 40. A  $45^\circ$  mirror 41 is mounted on the principal axis of the  
20 reflector 40 and is used to direct the laser beam 15 along the principal axis and towards the aperture 18 in the ellipsoid reflector 40. Indeed, the laser 14 may be mounted just about anywhere about the first scatter chamber 10 with an appropriately angled mirror 41 on the axis. The sample of fluid in laminar flow 17 is directed perpendicular to  
25 the paper to intersect the beam 15 at the focal point 16 of the ellipsoid reflector 40. A collection lens 12 directs scattered light towards radiation collector 13 which is connected via optical fibres 42 to photomultiplier tubes 43. The second chamber 19 is non-reflecting and includes a plurality of optical fibres 43 arranged  
30 around the beam 15 and connected to photomultiplier tubes 23. The optical fibres 43 may be arranged in concentric rings around the beam 15.

A further embodiment of the invention is shown in Fig 3. In this embodiment both the first reflector 50 and the second reflector 51 are  
35 both ellipsoid mirrors. Once again, the laser 14 is at an angle of  $90^\circ$  to the principal axes of the reflectors, so that mirror 41 directs the beam 15 along the principal axis. The sample 17 is directed at

right angles to the laser beam 15 and intercepts it at the near focal point 16 of the first ellipsoid reflector 50. The second ellipsoid reflector 51 is positioned so that its far focal point coincides with point 16. Photomultiplier tubes 23 are located at the far focal point of the first ellipsoid reflector 11 and at the near focal point of the second ellipsoid reflector 20 to collect the scattered radiation. The beam dump 22 is located within the second scatter chamber 19 to dump the non-scattered radiation.

The radiation collector 23 in Fig 3 is positioned to face the aperture 18 in the first chamber 10 as opposed to being placed at 90° to this direction as shown in Fig 1. The latter arrangement would collect relatively more radiation of low angle deflection, but less overall since only deflections in the direction of the face of the collector will be recorded.

In use, the sample of fluid 17 is supplied in laminar flow by means of a sheath of constant velocity air being supplied around the sample, as shown in Figs 1 and 3. This is so that the outer parts of the sample flow have the same velocity as the inner parts. The outer parts of the sample would otherwise flow more slowly due to friction with stationary air next to the sample flow. Additionally, a coaxial tube supplying the sheath of air is designed to dynamically focus particles in the sample to provide a laminar flow of particles. The laser beam 15 intercepts at right angles the flow of fluid 17 and light is scattered from the individual particles contained in the fluid. The scattered radiation reflects off the walls of the first concave reflector in the first scatter chamber 10. If first concave reflector is a parabolic mirror 11 (Fig 1) the radiation is reflected parallel to its principal axis or if it is an ellipsoid mirror 40 and 50 (in Figs 2 and 3), the radiation is directed to the far focal point of the mirror. This deflected radiation is then directed towards photomultiplier tubes 23 either directly, as in Fig 3, or by using lenses 12 as in Fig 1 to direct the radiation towards the photomultiplier units 23, or as shown in Fig 2 using lenses 12 and optical fibres 42 to direct the radiation towards photomultiplier units 23.

Radiation scattered at low angles by the particles is collected in the second chamber 19, which may include an ellipsoid mirror 20 and 51 in Figs 1 and 3 and radiation collectors which may be a photo-

multiplier tube 23 as in Fig 3 or a lens 21 in Fig 1 leading to such a tube 23. Alternatively the second chamber may not include a reflector in which case the scattered radiation is collected by optical fibres 43 surrounding the non-scattered beam 15. Any  
5 non-scattered radiation is dumped by a beam dump 22, typically a Rayleigh horn.

All the radiation collected is then converted into electrical signals, processed and analysed, and the information may be extracted by appropriate electronic circuits.

10 Although this invention has been described by way of example and with reference to possible embodiments thereof, it is to be understood that modifications or improvements may be made without departing from the scope of the invention as defined in the appended claims.

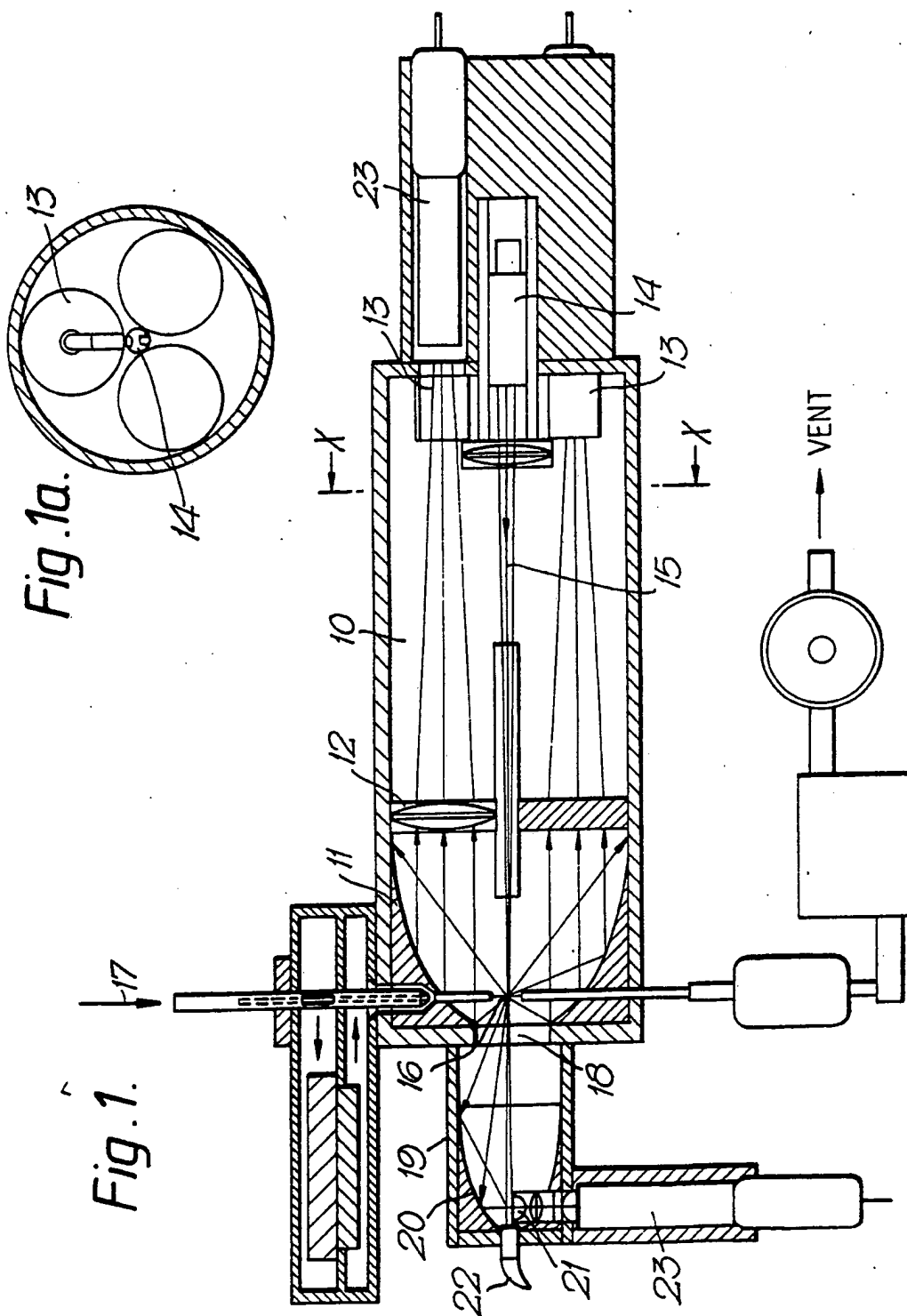


CLAIMS

What is claimed is:-

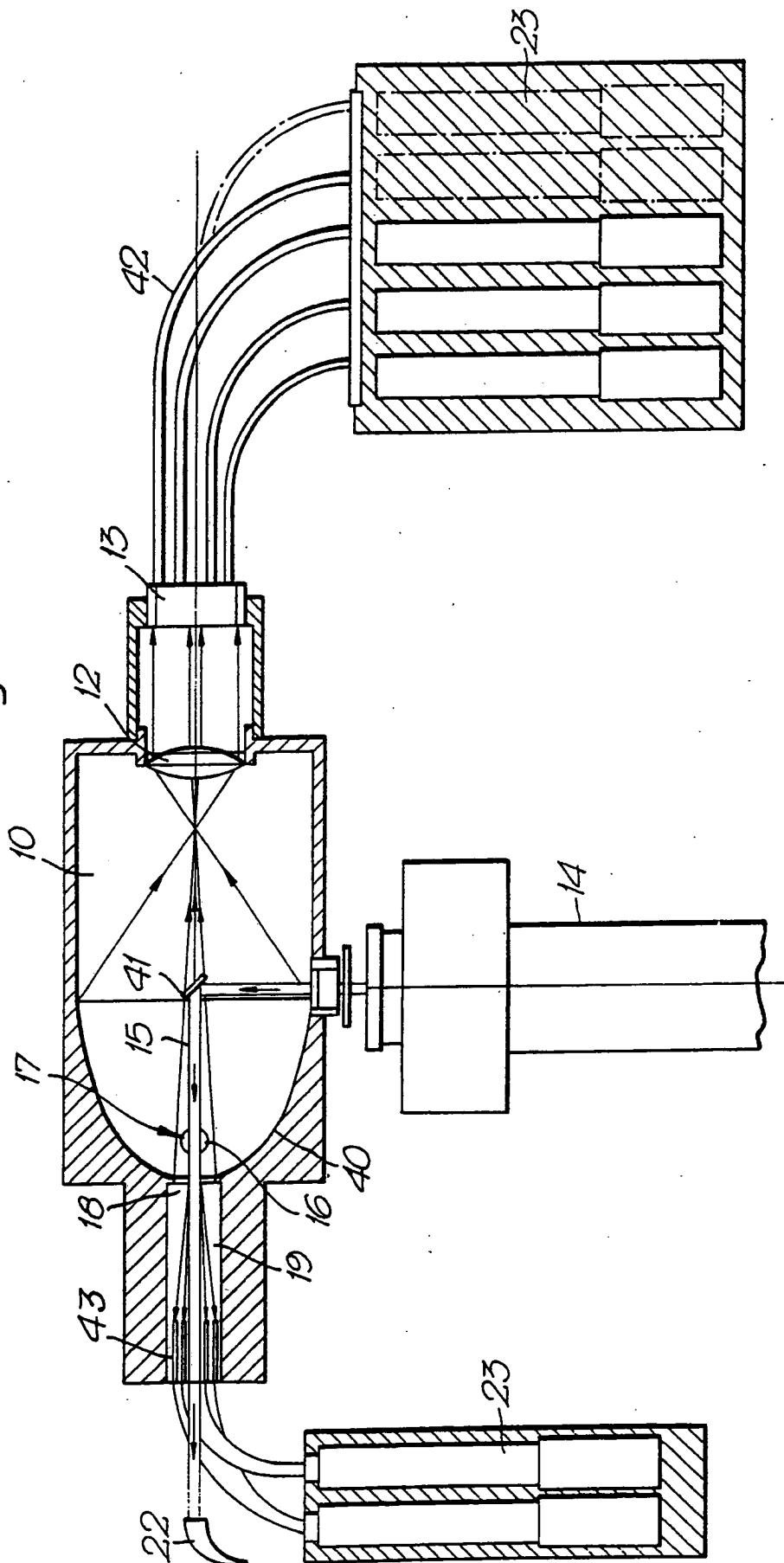
1. A particle analyser including a first scatter chamber, means for providing a sample of fluid in the form of a laminar flow through the first scatter chamber, a beam of radiation, adapted to intercept the sample at right angles to a direction of flow at a focal point of a first concave reflector, the first concave reflector being used to direct the radiation scattered by individual particles in the sample towards at least one radiation collector, means for converting the radiation collected into electrical signals for processing and analysis, and means for dumping the non-scattered radiation wherein an aperture in the first concave reflector leads to a second scatter chamber.
2. A particle analyser as claimed in Claim 1 wherein the beam of radiation is supplied by a laser.
3. A particle analyser as claimed in Claim 2 wherein the laser is mounted on and aligned with the principle axis of the first concave reflector.
4. A particle analyser as claimed in Claim 1 or Claim 2 wherein a small reflector is mounted on the principal axis of the concave reflector to reflect the beam from a laser mounted at an angle to the principle axis.
5. A particle analyser as claimed in Claim 4 wherein the angle is 90 degrees.
6. A particle analyser as claimed in Claim 2 wherein the laser is mounted on and aligned with an axis which is at right angles both to the principle axis of the reflector and to the direction of flow of the sample.
7. A particle analyser as claimed in any previous Claim wherein the first concave reflector is a parabolic reflector.
8. A particle analyser as claimed in Claims 1 to 6 wherein the first concave reflector is an ellipsoid with the point of interception at the proximal focal point, and a radiation collector at or near the distal focal point.
9. A particle analyser as claimed in any previous Claim wherein the second chamber comprises a second concave reflector with a radiation collector located at its near focal point and positioned so that its far focal point is at the point of interception of the beam of radiation and the sample.

10. A particle analyser as claimed in Claim 9 wherein the second concave reflector is a parabolic reflector.
11. A particle analyser as claimed in Claim 9 wherein the second concave reflector is an ellipsoidal reflector.
- 5 12. A particle analyser as claimed in Claim 1 wherein the second chamber includes radiation collectors mounted around the unscattered beam.
13. A particle analyser as claimed in any previous Claim wherein the or each radiation collector is a photo-multiplier unit.
- 10 14. A particle analyser as claimed in any one of Claims 1 to 12 wherein the or each radiation collector is an optical fibre leading to a photo-multiplier unit.
- 15 15. A particle analyser as claimed in any one of Claims 1 to 12 wherein the or each radiation collector is a lens directing the radiation up to a photo-multiplier unit or an optical fibre.
- 20 16. A method of particle analysis including the steps of: passing a sample of fluid in the form of a laminar flow through a first scatter chamber; passing a beam of radiation through the first scatter chamber so as to intercept the sample at right angles to a direction of flow at a focal point of a first concave reflector, the first concave reflector being used to direct the radiation towards at least one radiation collector; collecting scattered radiation with at least one radiation collector located in a second chamber leading from an aperture in the first concave reflector; converting the radiation  
25 collected into electrical signals; processing and analysing the electrical signals; and dumping the non-scattered radiation.
17. A method of particle analysis as claimed in Claim 16 wherein the sample is an aerosol.
- 30 18. A particle analyser substantially as herein described with reference to the accompanying diagrammatic drawings.



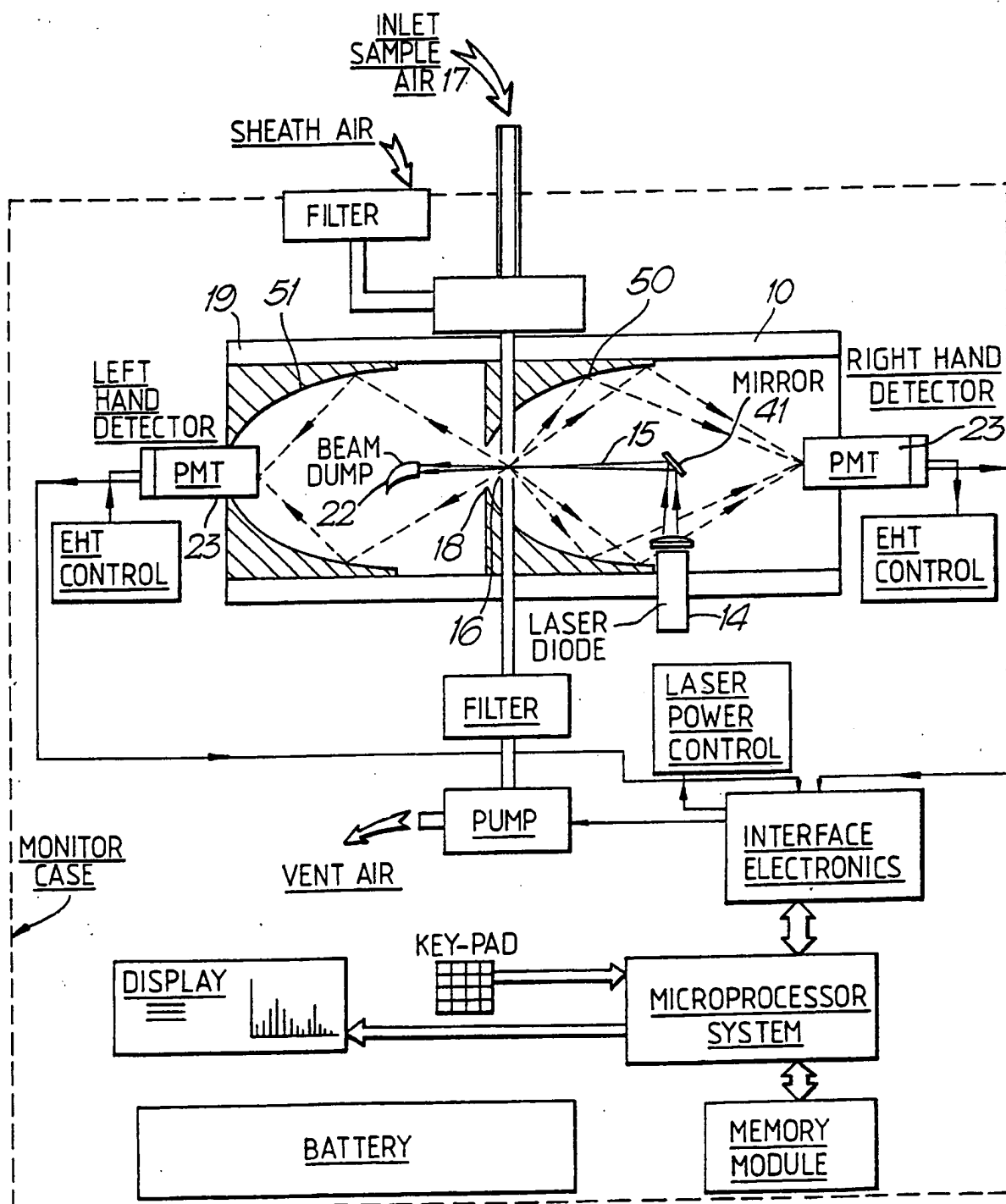
2/3

Fig. 2.



3/3

Fig. 3.



**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.**

GB 8800974

SA 25162

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.  
The members are as contained in the European Patent Office EDP file on 01/03/89  
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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
GB-A- 2041516	10-09-80	GB-A,B 2042166	17-09-80
		FR-A,B 2445960	01-08-80
		FR-A- 2445961	01-08-80
		FR-A,B 2445962	01-08-80
		DE-A- 3000032	31-07-80
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		FR-A- 2452705	24-10-80
		DE-A- 3012242	09-10-80
		JP-A- 55131750	13-10-80
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FR-A- 2535051	27-04-84	DE-A- 3338364	17-05-84
		JP-A- 59150325	28-08-84
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# INTERNATIONAL SEARCH REPORT

International Application No. PCT/GB 88/00974

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC <sup>4</sup> : G 01 N 15/14																	
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched <sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; border-bottom: 1px solid black;">Classification System</th> <th style="border-bottom: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="border-right: 1px solid black; padding: 5px;">IPC<sup>4</sup></td> <td style="padding: 5px;">G 01 N 15/14; G 01 N 15/02</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup></div>			Classification System	Classification Symbols	IPC <sup>4</sup>	G 01 N 15/14; G 01 N 15/02											
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IPC <sup>4</sup>	G 01 N 15/14; G 01 N 15/02																
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%; border-bottom: 1px solid black;">Category <sup>9</sup></th> <th style="border-bottom: 1px solid black;">Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="border-bottom: 1px solid black;">Relevant to Claim No. <sup>13</sup></th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">X</td> <td style="padding: 5px;">GB, A, 2041516 (COULTER ELCTRONICS) 10 September 1980, see page 6, line 130; page 7, lines 1-88; figure 4 (cited in the application) --</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-6,8,12, 14-16</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">X</td> <td style="padding: 5px;">US, A, 4200802 (G. SALZMAN et al.) 29 April 1980, see column 2, lines 11-68; figure 1 (cited in the application) --</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1,7</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">X</td> <td style="padding: 5px;">FR, A, 2535051 (UNIVERSITE SAINT ETIENNE) 27 April 1984, see page 6, lines 19-37; page 7; page 8, lines 1-27 --</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-5,14</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">A</td> <td style="padding: 5px;">Aerosol Measurement, editor D. Lundgren published 1979 Gainerville, (US) R.W. Storey: "Aerosol field measurements using light-scattering photometers", pages 241-259, see pages 241-244: "Instruments"  -----</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1,8,13,17</td> </tr> </tbody> </table>			Category <sup>9</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	X	GB, A, 2041516 (COULTER ELCTRONICS) 10 September 1980, see page 6, line 130; page 7, lines 1-88; figure 4 (cited in the application) --	1-6,8,12, 14-16	X	US, A, 4200802 (G. SALZMAN et al.) 29 April 1980, see column 2, lines 11-68; figure 1 (cited in the application) --	1,7	X	FR, A, 2535051 (UNIVERSITE SAINT ETIENNE) 27 April 1984, see page 6, lines 19-37; page 7; page 8, lines 1-27 --	1-5,14	A	Aerosol Measurement, editor D. Lundgren published 1979 Gainerville, (US) R.W. Storey: "Aerosol field measurements using light-scattering photometers", pages 241-259, see pages 241-244: "Instruments"  -----	1,8,13,17
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<sup>10</sup> Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "G" document member of the same patent family															
<b>IV. CERTIFICATION</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border-bottom: 1px solid black; padding: 5px;">           Date of the Actual Completion of the International Search            8th February 1989         </td> <td style="width: 50%; border-bottom: 1px solid black; padding: 5px;">           Date of Mailing of this International Search Report            - 9. 03. 89         </td> </tr> <tr> <td style="border-bottom: 1px solid black; padding: 5px;">           International Searching Authority            EUROPEAN PATENT OFFICE         </td> <td style="border-bottom: 1px solid black; padding: 5px;">           Signature of Authorized Officer             P.C.G. VAN DER PUTTEN         </td> </tr> </table>			Date of the Actual Completion of the International Search 8th February 1989	Date of Mailing of this International Search Report - 9. 03. 89	International Searching Authority EUROPEAN PATENT OFFICE	Signature of Authorized Officer P.C.G. VAN DER PUTTEN											
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